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PATENT 02.21.03  
Attorney Docket No.: VEK-001.01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Knight, Stephen C.

Application No: 09/938,295

Filed: August 23, 2001

For: RECRUITING A PATIENT INTO A  
CLINICAL TRIAL

Examiner: Not Yet Assigned

Art Unit: 2161

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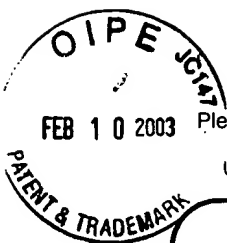
Kerry N. Richard

Commissioner for Patents  
Washington, DC 20231

Sir:

Submitted herewith are:

1. Transmittal Form (1 pg.);
2. Information Disclosure Statement Under 37 CFR 1.97 (1 pg.);
3. Information Disclosure Statement By Applicant (Form 1449A) (1 pg.);
4. International Search Report (4 pages); -
5. Reference AA - AE; and
6. Return Receipt Postcard.



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PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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<b>TRANSMITTAL FORM</b> <i>(to be used for all correspondence after initial filing)</i>	Application Number	09/938,295
	Filing Date	August 23, 2000
	First Named Inventor	Knight, Stephen C.
	Group Art Unit	2161
	Examiner Name	NYA
	Attorney Docket Number	VEK-001.01

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ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form  <input type="checkbox"/> Fee Attached  <input type="checkbox"/> Amendment / Response  <input type="checkbox"/> After Final  <input type="checkbox"/> Affidavits/declaration(s)  <input type="checkbox"/> Extension of Time Request  <input type="checkbox"/> Express Abandonment Request  <input checked="" type="checkbox"/> Information Disclosure Statement by Applicant (Form 1449A)  <input type="checkbox"/> Certified Copy of Priority Document(s)  <input type="checkbox"/> Response to Missing Parts/Incomplete Application  <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application)  <input type="checkbox"/> Drawing(s)  <input type="checkbox"/> Licensing-related Papers  <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition  <input type="checkbox"/> Petition to Convert to a Provisional Application  <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address  <input type="checkbox"/> Terminal Disclaimer  <input type="checkbox"/> Request for Refund  <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group  <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences  <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)  <input type="checkbox"/> Proprietary Information  <input type="checkbox"/> Status Letter  <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):  Information Disclosure Statement Under 37 CFR 1.97; Copy of Search Report; References AA - AE; Certificate of First Class Mailing; and Return Receipt Postcard
Remarks		Customer No 25181

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Kevin A. Oliver
Signature	
Date	February 6, 2003



PATENT  
Attorney Docket No.: VEK-001.01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Knight, Stephen C.

Application No: 09/938,295

Filed: August 23, 2001

For: Recruiting a Patient into a Clinical Trial

Examiner: Not Yet Assigned

Art Unit: 2161

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**INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 CFR 1.97**

Commissioner for Patents  
Washington, DC 20231

Sir:

In compliance with the requirements of 37 C.F.R. 1.56, submitted herewith on Form PTO-1449A is a list of publications identified in an International Search Report (ISR) in a counterpart PCT application; a copy of each publication is being submitted herewith. This submission is provided before a first office action on the merits.

Although we believe that we have appropriately provided for any fees due in connection with this submission, the Commissioner is authorized to credit any overpayment or charge any deficiencies to/from our Deposit Account No. 06-1448, Reference VEK-001.01.

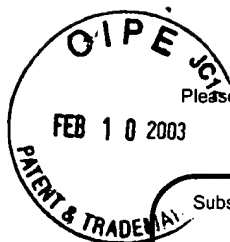
Should there be any questions after reviewing this paper, the Examiner is invited to contact the undersigned at (617) 832-1241.

Respectfully Submitted,

Kevin A. Oliver  
Reg. No. 42,049

Date: February 6, 2003

**Customer No: 25181**  
Patent Group  
Foley, Hoag LLP  
World Trade Center West  
155 Seaport Boulevard  
Boston, MA 02210-2600



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Substitute for form 1449A/PTO

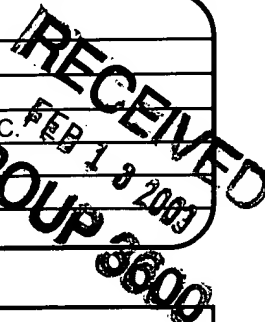
## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 1

Complete if Known

Application Number	09/938,295
Filing Date	August 23, 2001
First Named Inventor	Knight, Stephen C.
Group Art Unit	2161
Examiner Name	NYA
Attorney Docket Number	VEK-001.01



### FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sub>6</sub>
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				
	AA	WO	98 39720		University of Florida	09/11/1998		
	AB	EP	0 936 566		Siemens, AG	08/18/1999		
	AC	WO	98 49647		Medical Science Systems, Inc.	11/05/1998		
	AD	WO	01 55942		Florin, Lawrence B., et al.	08/02/2001		

### OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	AE	KING, CHESTER, ET AL., "MEDUS/A: Distributing Database Management for Medical Research" Computer Networks. Washington, September 20 - 23, 1982 Digest of Papers from Compton. Fall, Computer Society International Conference, New York, I.E.E.E., US, vol. CONF. 25, 09-20/1982, pages 635-642.	

Examiner  
Signature

Date  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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